

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

Gerry McNerney, Chris Scroggins

CASE NO. C 06-5155 PJH

Plaintiff(s),

v.

Piper Jaffray & Co., UBS Financial Services,  
Inc.

STIPULATION AND [PROPOSED]  
ORDER SELECTING ADR PROCESS

Defendant(s).

Counsel report that they have met and conferred regarding ADR and have reached the following stipulation pursuant to Civil L.R. 16-8 and ADR L.R. 3-5:

The parties agree to participate in the following ADR process:

Court Processes:

- Non-binding Arbitration (ADR L.R. 4)
- Early Neutral Evaluation (ENE) (ADR L.R. 5)
- Mediation (ADR L.R. 6)

(Note: Parties who believe that an early settlement conference with a Magistrate Judge is appreciably more likely to meet their needs than any other form of ADR, must participate in an ADR phone conference and may not file this form. They must instead file a Notice of Need for ADR Phone Conference. See Civil Local Rule 16-8 and ADR L.R. 3-5)

Private Process:

- Private ADR (please identify process and provider) mediation

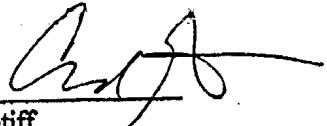
The parties have not selected a mediator at this time.

The parties agree to hold the ADR session by:

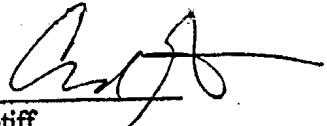
- the presumptive deadline (The deadline is 90 days from the date of the order referring the case to an ADR process unless otherwise ordered.)

other requested deadline 180 days from date of order referring the case to an ADR process

Dated: 11/8/2006

  
Edward J. Wynne  
Attorney for Plaintiff

Dated: 11/9/06

  
Kirby Wilcox  
Attorney for Defendant

[PROPOSED] ORDER

Pursuant to the Stipulation above, the captioned matter is hereby referred to:

- Non-binding Arbitration
- Early Neutral Evaluation (ENE)
- Mediation
- Private ADR

Deadline for ADR session

- 90 days from the date of this order.
- other

IT IS SO ORDERED.

Dated: 11/13/06

